



(於臺灣註冊之股份有限公司)

(Incorporated in Taiwan with Limited Liability)

### 實益擁有人聲明書(香港分行專用)

## Beneficial Owners Declaration (For HK Branch use only)

日期 Date: 年 YY 月 MM 日 DD

立聲明書人 Client Name: \_\_\_\_\_

茲聲明以下勾選事項及/或所填寫之資料為真實且均據實填列，且所提供之證明文件屬實無誤，如有不實，立書人願負一切法律責任。立書人並承諾於其實質受益人變更時立即以書面通知貴行：

#### 一、持票人股份

- ☐ 立書人目前章程未載明得發行持票人股份，未來修改章程得發行持票人股份者，立書人應於章程修改通過後 30 日內以書面通知 貴行，並配合 貴行之要求，採取所有必要措施。
- ☐ 立書人目前章程載明得發行持票人股份，但目前皆未發行持票人股份。未來發行或擬發行持票人股份，立書人應於知悉後 30 日內立即以書面通知 貴行，並配合 貴行之要求，採取所有必要措施。
- ☐ 立書人已發行持票人股份，茲同意提供其持票人股份股東之相關資訊，並應於每次股東會或知悉其持票人股份股東身分發生變動時，立即通知 貴行。立書人應負責各該持有持票人股份之實益擁有人配合出具「持票人股份股東聲明書」並交付 貴行。

To: The Shanghai Commercial & Savings Bank, Ltd. (hereinafter as "the Bank")

We declare that the following statements and all documentation attached herewith are true, correct and complete, if anything to the contrary, we shall bear all legal responsibilities. We also undertake to promptly inform the Bank in writing of any changes in Beneficial Owners.

#### 1. Declaration of Bearer Shares (Please tick where appropriate)

- ☐ We, by the Articles of Incorporation, do not permit to issue bearer shares. In the event of future amendments of Articles of Incorporation to permit the issuance of bearer shares, we shall notify the Bank in writing within 30 days after the amendment of the Articles of Incorporation and take all necessary measures to meet the requirements of the Bank.
- ☐ We, by the Articles of Incorporation, do permit to issue bearer shares, but none are currently issued. In the event of future issuance or intention to issue bearer shares, we shall notify the Bank in writing within 30 days of the acknowledgement and take all necessary measures to meet the requirements of the Bank.
- ☐ We have issued bearer shares and hereby agree to submit relevant information of the bearer shareholders. We shall immediately notify the Bank to update the information of bearer shareholders when we acknowledge the changes of bearer shareholders (including but not limited to the changes resulting from shareholders' meeting). In addition, we shall undertake that every Beneficial Owner who holds bearer shares executes and submits "The Statement of Declaration of Bearer Shareholders" to the Bank.

#### 二、實益擁有人

立書人茲聲明實質受益人資訊如下，並瞭解 貴行於確認立書人提供之實益擁有人時，將視證明文件之完整性，進一步徵詢有無其他實益擁有人，立書人並願配合貴行

提供資訊。另，立書人或立書人之法人股東如可發行持票人股份，倘因持票人股份持有人異動，造成立書人實益擁有人異動時，立書人承諾於知悉時立即將異動後之實益擁有人身分資料通知 貴行：

## 2. Declaration of Beneficial Owners

We hereby certify that the Beneficial Owners are as the follows. We acknowledge that the Bank will verify whether the listed natural persons are the actual Beneficial Owners. Depending on the veracity and adequacy of documents provided, the Bank will conduct further investigation on whether there are other Beneficial Owners, and we promise to fully cooperate with the Bank. In addition, in the case of bearer shares are issued by the Declarant or our corporate shareholders, we undertake to notify the Bank immediately when we acknowledge any change of the Beneficial Owners resulting from the change of bearer shareholders, and we shall immediately inform the Bank in writing about the information of the updated Beneficial Owners.

編號 No.	姓名 Name	國籍 Nationality	身分證件號碼 ID number	出生日期 Date of Birth	持股比例/職稱 Ownership/Occupation
1					
2					
3					
4					
5					

實益擁有人通常是指最終擁有、控制客戶或由客戶代其進行交易活動的個人。關於實益擁有人之定義，則請參照香港金融管理局公布之打擊洗錢及恐怖分子資金籌集指引之規定。

A beneficial owner is normally an individual who ultimately owns or controls the customer or on whose behalf a transaction or activity is being conducted. As for the definition of beneficial owner, please refer to the Guideline on Anti-Money Laundering and Counter-Terrorist Financing issued by the Hong Kong Monetary Authority.

茲聲明上述內容屬實且同意應貴行之請求提供必要相關文件(例如股東名冊等)以佐證，嗣後如有情況變更時，應主動告知 貴行。

We hereby declare and confirm that all information we provided is accurate and true and agree to procure the Bank necessary and related documents and/or information (such as register of shareholders, etc.) as required by the Bank and with respect to any change in circumstances, to actively inform the Bank.

此致

上海商業儲蓄銀行

立聲明書人 (請蓋原留印鑑)：

Authorized signature(s) and stamp : \_\_\_\_\_

確認人員：\_\_\_\_\_ 建檔人員：\_\_\_\_\_ 覆核人員：\_\_\_\_\_ 作業主管：\_\_\_\_\_